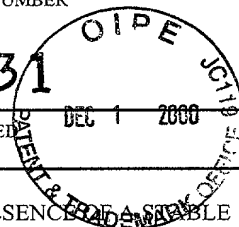| FORM PTO-1390 (REV. 11-94)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)		2988-661 09/701831

INTERNATIONAL APPLICATION NO. PCT/FR99/01272	INTERNATIONAL FILING DATE May 31, 1999	PRIORITY DATE CLAIMED June 3, 1998

TITLE OF INVENTION
SHOCK VINYLAROMATIC POLYMER BY POLYMERIZATION OF A VINYLAROMATIC MONOMER IN THE PRESENCE OF A STABLE FREE RADICAL AND A POLYMERIZATION INITIATOR

APPLICANT(S) FOR DO/EO/US
Jean-Marc BOUTILLIER

Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
       a. ☐ is transmitted herewith (required only if not transmitted by the international Bureau).
       b. ☒ has been transmitted by the International Bureau.
       c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)

6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).

7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
       a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
       b. ☐ have been transmitted by the International Bureaus.
       c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
       d. ☐ have not been made and will not be made.

8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 37(c)(3)).

9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unexecuted).

10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A FIRST preliminary amendment.
     ☐ A SECOND or SUBSEQUENT preliminary amendment.

14. ☐ A substitute specification.

15. ☐ A change of power of attorney and/or address letter.

16. ☒ Other items or information:

A copy of the PCT Request
A copy of PCT publication with Search Report
A copy of the revised Search Report
A copy of the Preliminary Examination Report
Copies of Notification of Registeration Changes (form PCT/IB/306) (assignee's name change and attorney's address change)

NY2 - 1144562.1

INTERNATIONAL APPLICATION N PCT/FR99/01272	09/701831	INTERNATIONAL FILING DATE May 31, 1999

17. ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS				
(1)FOR	(2)NUMBER FILED	(3)NUMBER EXTRA	(4)RATE	(5)CALCULATIONS
TOTAL CLAIMS	47 - 20	22	X $ 18.00	$ 486.00
INDEPENDENT CLAIMS	2 - 3	0	X $ 80.00	0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ $ 270.00		☐

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):
CHECK ONE BOX ONLY

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) . . . . $ 690

☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) . . . . $ 710

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO $1000

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) . . . . $ 100

☒ Filing with EPO or JPO search report . . . . $ 860 $ 860.00

Surcharge of $130.00 for furnishing the National fee or oath or declaration later than 20 ☐ 30 mos. from the earliest claimed priority date (37 CFR 1.492(e)).	$ 0.00
TOTAL OF ABOVE CALCULATIONS =	1,346.00
Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28).	– $ 0.00
SUBTOTAL =	1,346.00
Processing fee of $130.00 for furnishing the English Translation later than 20 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)).	+
0 TOTAL FEES ENCLOSED $	1,346.00

a. ☐   A check in the amount of $__ to cover the above fees is enclosed.

b. ☒   Please charge Deposit Account No. 16-1150 in the amount of $1,346.00 to cover the above fees. A copy of this sheet is enclosed.

c. ☒   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-1150. A copy of this sheet is enclosed.

18. ☒ Other instructions
Please calculate the filing fee after entering the Preliminary Amendment.

19. ☒ All correspondence for this application should be mailed to
PENNIE & EDMONDS LLP
1155 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10036-2711

20. ☒ All telephone inquiries should be made to (212) 790-2803

Charles E. Miller		24,576	December 1, 2000
NAME	SIGNATURE	REGISTRATION NUMBER	DATE

NY2 - 1144562.1

EXPRESS MAIL NO.:EL 501 635 499 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Boutiller

Serial No.: To be assigned | Group Art Unit: to be assigned

Filed: Concurrently filed | Examiner: to be assigned

For: PROCESS FOR THE PREPARATION OF THERMOPLASTIC MATERIALS | Attorney Docket No.: 2988-661

New York, NY
December 1, 2000

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Please enter the following amendments (changes are shown in bold-face for convenience for references) and remarks into the file of the present application. Applicant attached a clean form of amended claims as Appendix A.

IN CLAIMS:

Please amend claims 1 and 34 as follows:

1.   (amended) Process for [the preparation of] **preparing** a composition [comprising] **of** a vinylaromatic polymer matrix surrounding rubber nodules, comprising [a] **the** step of polymerizing at least one vinylaromatic monomer in the presence of a rubber, [of] a stable free radical which is not introduced into the polymerization mixture in a form linked to the rubber, and [of] a polymerization initiator with a grafting character suitable for [the] said composition**,**
[- is such that in one of its sections at least 90% of the total area occupied by the nodules corresponds to capsules having an equivalent diameter ranging from 0.1 to 1 µm, or else
- is such that it comprises multi-occlusion-type nodules and is such that in one of its sections

- 20 to 60% of the total are occupied by the particles corresponds to particles having an equivalent diameter ranging from 0.1 to 1 µm,

- 5 to 20% of the total area occupied by the particles corresponds to particles having an equivalent diameter ranging from 1 to 1.6 µm, and

- 20 to 75% of the total area occupied by the particles corresponds to particles having an equivalent diameter of greater than 1.6 µm.
the] said step being such that:

- if (SFR) represents the number of moles of stable free radical in the polymerization mixture,

- if F_{SFR} represents the functionality of the stable free radical, i.e. the number of sites on the same molecule of stable free radical having the stable free radical state,

- if (INIT) represents the number of moles of polymerization initiator in the polymerization mixture before phase inversion, and

- if F_{INIT} represents the functionality of the initiator introduced before phase inversion, i.e. the number of sites having the free radical state that each molecule of initiator is capable of generating, then:

$$0.05 < \frac{F_{SFR} \times (SFR)}{F_{INIT} \times (INIT)} < 1.$$

34.   (amended) **A** [Composition] **composition comprising a vinylaromatic polymer matrix surrounding rubber nodules,**[capable of being obtained by the process of claim 1]
- which is such that, in one of its sections, at least 90% of the total area is occupied by the nodules corresponds to capsules having an equivalent diameter ranging from 0.1 to 1 µm, or alternatively
- which comprises multi-occlusion-type nodules and is such that in one of its sections

-    **20 to 60% of the total area is occupied by the particles corresponds to particles having an equivalent diameter ranging from 0.1 to 1 µm,**

- **5 to 20% of the total area is occupied by the particles corresponds to particles having an equivalent diameter ranging from 1 to 1.6 µm, and**
- **20 to 75% of the total area is occupied by the particles corresponds to particles having an equivalent diameter of greater than 1.6 µm.**

Please amend claims 2 -12, 14-19, 21-33, 35-36 and 39-41 as follows:

in claim 2, change "the previous claim" to --claim 1--;

in claims 3-11, 14, 22-29, 31-33 and 40, change "one of the preceding claims" to --claim 1--;

in claim12, change "the preceding claim" to --claim 11--;

in claim 15, change "one of Claims 10 to 14" to --claim 10--;

in claim 16, change "one of Claims 10 to 15" to --claim 10--;

in claim 17, change "the preceding claim" to --claim 16--;

in claim 18, change "one of Claims 1 to 9" to --claim 1--;

in claim 19, change "the preceding claim" to --claim 18--;

in claim 21, change "the preceding claim" to --claim 20--;

in claim 30, change "the preceding claim" to --claim 29--;

in claim 35, change "the preceding claim or" to --claim 34--;

in claim 36, change "the preceding claim" to --claim 35--;

in claim 39, change "one of Claims 34 to 38" to --claim 34--;

in claim 40, change "the preceding claim" to --claim 39--; and

in claim 41, change "one of Claim 35 to 40" to --claim 35--.

REMARKS

Claims 1-42 are pending. Claims have been amended to avoid multiply dependent claims. Applicants respectfully request that the amendments

and remarks made herein be entered and made of record in the file history of the present application.

No fee is believed to be due for this amendment. Should any fee be required, please charge same to Deposit Account No. 16-1150.

Respectfully submitted,

Date: December 1, 2000

Charles E. Miller 24,576
(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711

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5/PRTS

IMPACT VINYLAROMATIC POLYMER

BY POLYMERIZATION OF A VINYLAROMATIC MONOMER

IN THE PRESENCE OF A STABLE FREE RADICAL

AND OF A POLYMERIZATION INITIATOR

5

The invention relates to a process for the preparation of an impact vinylaromatic composition, i.e. a composition comprising a vinylaromatic polymer matrix surrounding rubber nodules.

Patent Application EP 0,726,280 teaches that it is possible to produce an impact polystyrene by polymerizing a vinylaromatic monomer in the presence of a polybutadiene and of a stable free radical.

Patent EP 0,048,389 teaches that it is possible to obtain an impact polystyrene in which the nodules are essentially in the form of capsules, provided that a styrene-butadiene copolymer is used as the rubber.

The process according to the invention involves a step of polymerizing at least one vinylaromatic monomer in the presence of rubber, of a polymerization initiator and of a stable free radical, the said step being such that

- if (SFR) represents the number of moles of stable free radical in the polymerization mixture,

- if F_{SFR} represents the functionality of the stable free radical, i.e. the number of sites on the

same molecule of stable free radical having the stable free radical state,

- if (INIT) represents the number of moles of polymerization initiator in the polymerization mixture, and

- if F_{INIT} represents the functionality of the initiator, i.e. the number of sites having the free radical state that each molecule of initiator is capable of generating,
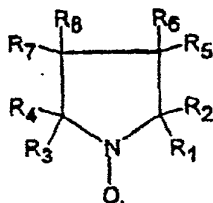
then:

$$0.05 < \frac{F_{SFR} \times (SFR)}{F_{INIT} \times (INIT)} < 1,$$

and preferably:

$$0.05 < \frac{F_{SFR} \times (SFR)}{F_{INIT} \times (INIT)} < 0.5.$$

As an example of a stable free radical having a functionality F_{SFR} of 1, mention may be made of a molecule represented by:
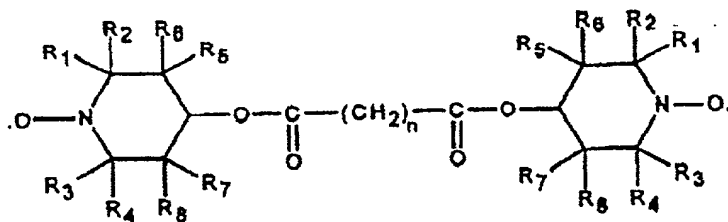

in which the groups R1, R2, R3, R4, R5, R6, R7 and R8 represent alkyl radicals.

As an example of a stable free radical having a functionality F_{SFR} of 2, mention may be made of a molecule represented by:

5

in which the groups R1, R2, R3, R4, R5, R6, R7 and R8 represent alkyl radicals and n represents a non-zero integer.
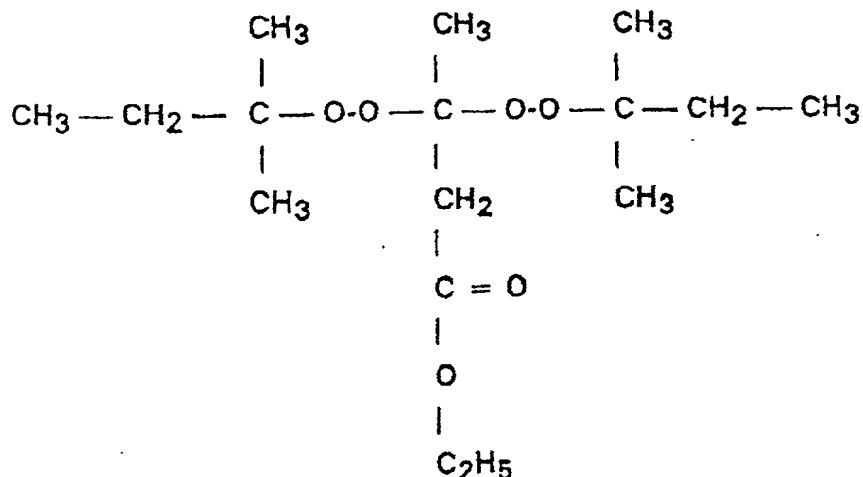
10   As an example of an initiator having a functionality F_{INIT} of 2, mention may be made of dicumyl peroxide.

As an example of an initiator having a functionality F_{INIT} of 4, mention may be made of ethyl 15 3,3-di(*tert*-amylperoxy)butyrate which may be represented by:

$$CH_3 - CH_2 - \overset{\overset{\textstyle CH_3}{|}}{\underset{\underset{\textstyle CH_3}{|}}{C}} - O\text{-}O - \overset{\overset{\textstyle CH_3}{|}}{\underset{\underset{\textstyle CH_2}{|}}{C}} - O\text{-}O - \overset{\overset{\textstyle CH_3}{|}}{\underset{\underset{\textstyle CH_3}{|}}{C}} - CH_2 - CH_3$$

$$\underset{\textstyle C_2H_5}{\underset{|}{O}} - \underset{|}{O} - \overset{}{C = O} - CH_2$$

as it contains two -O-O-links each capable of

generating two sites having the free radical state,

5 namely -O˙.

Using one particular $[F_{SFR} \times (SFR)]/[F_{INIT} \times$

$(INIT)]$ ratio, a polymerization range is defined within

which it is possible to control and choose the

morphology and the distribution of the sizes of nodules

10 in the impact composition.

In addition, the process according to the

invention is rapid, despite the use of a stable free

radical which generally tends to slow down the

polymerization.

15 The following morphologies of rubber nodules

may be obtained:

- the "salami"-type morphology, which means

that the rubber particle contains several generally

approximately spherical, but non-concentric,

20 vinylaromatic polymer occlusions;

- the "labyrinth"-type morphology, which means that the rubber particle contains several elongate, curved, generally non-spherical and generally unsymmetrical vinylaromatic polymer occlusions;

5   - the "onion"-type morphology, which means that the rubber particle is approximately spherical and contains, concentrically with respect to itself, several vinylaromatic polymer occlusions contained one within another; and

10   - the "capsule"-type morphology, which means that the generally approximately spherical rubber particle contains a single vinylaromatic polymer occlusion.

The "salami", "labyrinth" and "onion"
15 morphologies may be called "multi-occlusion" morphologies. In general, they are substantially coarser than the capsules.

These morphologies in particular impinge on the impact properties and the gloss of the final
20 compositions.

When, for a given rubber and a given rubber content, the grafting is less, the nodules are essentially in salami form.

Within the above-defined polymerization
25 range, it is possible, for a given rubber and a given rubber content, to increase the proportion of nodules in labyrinth form, in onion form or in capsule form by increasing the grafting of the rubber.

It is possible to further increase the
proportion of nodules in capsule form by further
increasing the grafting of the rubber. It is possible
to promote the formation of capsules compared with the
5   other morphologies by using a rubber of lower
viscosity.

The advantages of the invention, in
particular the possibility of obtaining a high
proportion of nodules in labyrinth, onion or capsule
10   form, or even a morphology essentially in capsule form,
may be obtained from a rubber not comprising a
polymerized vinylaromatic monomer unit and may be
obtained by using a homopolybutadiene as the rubber.

The expression "grafting of the rubber"
15   should be understood to mean the grafting of the rubber
by the vinylaromatic monomer present in the
polymerization mixture.

The grafting of the rubber may be increased
by:
20   - using an initiator with a higher grafting
power,

    - increasing the polymerization temperature,

    - increasing the amount of initiator.

The grafting power of the initiator may be
25   determined by routine comparative tests by analysing
the morphology induced by the nature of the initiator.
The grafting power of the initiator is higher the more
it tends to generate the following morphologies, given

in the direction of increasing grafting power: salami, then onion or labyrinth, then capsule. Very large amounts of initiator, for example so that the ratio of the product (INIT) × F_{INIT} to the molar amount of

5 vinylaromatic monomer is greater than 2×10^{-4}, or even greater than 4×10^{-4} or even greater than 6×10^{-4}, may be introduced. Such amounts are not usual in vinylaromatic monomer polymerization processes since they cause substantial heat generation, the heat being

10 difficult to remove and possibly dangerous. In the context of the present invention, the Applicant has found that, on account of the amounts of initiator used, less heat was generated than normally observed. This phenomenon allows the use of large amounts of

15 initiator, thereby allowing the grafting and the particle morphology to be controlled as desired.

The process according to the invention makes it possible to obtain particularly impact-resistant and/or particularly glossy compositions with a high

20 polymerization rate.

The process according to the invention results in compositions having a high fluidity and a high Vicat softening point, combined with, if required, a high impact resistance.

25 If it is desired to produce a composition whose essential property is impact resistance (hereafter called "impact" composition), it is recommended to find conditions such that the rubber

nodules have partially both a salami and/or labyrinth morphology and partially both an onion and/or capsule morphology. In addition, it is recommended to find conditions such that the nodule size distribution is

5 broad and even bimodal. In the context of the present invention, when a composition at least partially comprises salami- and/or labyrinth-type nodules and when the grafting conditions are increased, an increase in the amount of capsule-type nodules is observed and

10 the gloss of the composition is increased thereby. Moreover, for this type of "impact" composition, comprising salami- and/or labyrinth-type nodules, the nodule size distribution is broader than for compositions coming from the same process but in which

15 no stable free radical had been introduced. Such a composition has a high impact resistance. For this type of "impact" composition, the process according to the invention allows good utilization of the rubber, that is to say it leads to compositions having a high impact

20 resistance for a relatively small amount of rubber.

Such an "impact" composition is preferably such that, in one of its sections,

- 20 to 60% of the total area occupied by the particles corresponds to particles having an equivalent

25 diameter ranging from 0.1 to 1 μm,

- 5 to 20% of the total area occupied by the particles corresponds to particles having an equivalent diameter ranging from 1 to 1.6 μm and

- 20 to 75% of the total area occupied by the particles corresponds to particles having an equivalent diameter of greater than 1.6 μm.

When the grafting of the particles is relatively low, the morphology of the particles, as a function of the size range of the equivalent diameters, is as follows:

♦ 0.1 to 1 μm: more than 95% of the particles have the salami or capsule morphology,

♦ 1 to 1.6 μm: more than 95% of the particles have the salami morphology, and

♦ greater than 1.6 μm: more than 95% of the particles have the salami morphology.

When the grafting of the particles is higher, the morphology of the particles, as a function of the size ranges, is as follows:

♦ 0.1 to 1 μm: more than 95% of the particles have the capsule or onion or labyrinth morphology,

♦ 1 to 1.6 μm: more than 95% of the particles have the onion or labyrinth morphology, and

♦ greater than 1.6 μm: more than 95% of the particles have the labyrinth morphology.

The "impact" compositions may especially be used for producing injection-moulded articles in the audio-video field (cases for television sets or video recorders) or in the office field (cases for computers, printers or fax machines). In order to produce these articles, it is necessary to be able to have available

compositions having a high fluidity (in order to facilitate injection moulding) together with a high impact resistance and a high Vicat softening temperature (in order to improve the hot strength, i.e.

5 to limit heat-induced deformation). The invention makes it possible to obtain compositions having these combinations of properties.

The process according to the invention may also result in a composition in which the rubber

10 nodules are essentially in capsule form. Such a composition (hereafter called "glossy" composition) has a high gloss and is, in general, essentially monomodal. Such a composition may be obtained by causing a high level of grafting during the polymerization and by

15 using a rubber of sufficiently low viscosity. It is also important to take care that the stirring during the polymerization exerts sufficient shear for the capsule morphology to be obtained. Such a "glossy" composition is such that, in one of its cuts, at least

20 90% of the total area occupied by the particles corresponds to capsules having an equivalent diameter ranging from 0.1 to 1 µm.

The "glossy" compositions also have good transparency, this being manifested by low haze values.

25 Very low haze values may be obtained despite the use of a homopolybutadiene, whereas in the prior art it is general to use, as rubber, a styrene-butadiene copolymer in order to lower the haze. If required, so

as to increase the transparency further, it is possible
to blend these "glossy" compositions with crystal
polystyrene. These glossy compositions, which may or
may not be alloyed with crystal polystyrene, are used

5   in particular in the packaging field for the
manufacture, by thermoforming, of pots, goblets and
trays, the average wall thickness of which is generally
between 25 and 750 microns and more particularly
between 50 and 250 microns.

10   In all cases, especially in that of the
"impact" and "glossy" compositions, the final
composition comprises a matrix of a vinylaromatic
polymer surrounding particles of a rubber, the said
composition also comprising a stable free radical

15 and/or a stable-free-radical-generating group forming
part of a polymer chain. In fact, the stable free
radical may essentially be in a free form and/or in a
form bound to a polymer chain by a covalent bond. In
general, the tendency of a stable-free-radical-

20 generating group to release its stable free radical
increases with temperature. The final composition
therefore has a higher or lower content of stable free
radicals or stable-free-radical-generating groups
depending on the intensity of the devolatilization

25 treatment carried out on the said composition and also
depending on the nature of the stable free radical or
of the stable-free-radical-generating group. The
devolatilization treatment is more intense the longer

its duration and/or the higher its temperature and/or the higher its vacuum.

Because of the presence of a stable free radical and/or a stable-free-radical-generating group, the final composition has an improved resistance to hot depolymerization and to ultraviolet.

In addition, because of the possibility of using large amounts of polymerization initiator, especially of the peroxide family, the grafting of the rubber by the vinylaromatic monomer is improved, this having the effect, for a given temperature, of reducing the shrinkage of the material. This improvement produces a reduction in the burning rate of the material, something which is an appreciable advantage, especially in the audio-video field or the office field.

The rubber may, for example, have a viscosity as a 5% by weight solution in styrene, measured at 25°C, ranging from 15 to 300 mPa.s.

The rubber, especially in the case of a polybutadiene, generally has a weight-average molecular mass (M_w), expressed in g/mol, ranging from 110,000 to 350,000, and preferably from 150,000 to 300,000, and generally has a number-average molecular mass (M_n), expressed in g/mol, ranging from 50,000 to 250,000 and preferably from 70,000 to 200,000.

By way of example, for the case in which it is desired to produce an "impact" composition, i.e. one

comprising partially both nodules with the salami and/or labyrinth morphology and partially both nodules with the onion and/or capsule morphology, it is possible to use a homopolybutadiene having a viscosity

5   as a 5% by weight solution in styrene, measured at 25°C, ranging from 60 to 300 mPa.s. In the case of such an "impact" composition, the rubber, especially in the case of a polybutadiene, preferably has a weight-average molecular mass ranging from 175,000 to 350,000

10 and even more preferably from 200,000 to 300,000, and preferably has a number-average molecular mass ranging from 70,000 to 250,000 and even more preferably from 90,000 to 200,000.

By way of example, in the case in which it is

15 desired to produce a "glossy" composition, i.e. one in which most of the nodules are in capsule form, it is possible to use a homopolybutadiene having a viscosity ranging from 15 to 60 mPa.s, measured at 25°C, as a 5% by weight solution in styrene. In the case of such a

20 "glossy" composition, the rubber, especially in the case of a polybutadiene, preferably has a weight-average molecular mass ranging from 110,000 to 200,000 and preferably ranging from 150,000 to 200,000, and preferably has a number-average molecular mass ranging

25 from 50,000 to 200,000 and even more preferably from 70,000 to 150,000.

A stable free radical should not be confused with free radicals whose lifetime is ephemeral (a few

milliseconds), such as the free radicals produced by

the usual polymerization initiators such as peroxides,

hydroperoxides and azo-type initiators. Polymerization-

initiating free radicals tend to accelerate the

5 polymerization. By contrast, stable free radicals

generally tend to slow down the polymerization. In

general, it may be stated that a free radical is stable

in the sense of the present invention if it is not a

polymerization initiator and if, under the conditions

10 of use of the present invention, the average lifetime

of the radical is at least five minutes. Over this

average lifetime, the molecules of the stable free

radical continually alternate between the state of a

radical and the state of a group bound to a polymer

15 chain by a covalent bond. Of course, it is preferable

for the stable free radical to exhibit good stability

throughout the duration of its use in the context of

the present invention. Generally speaking, a stable

free radical may be isolated in the radical state at

20 room temperature. A stable free radical is stable

enough for its free radical state to be characterized

using spectroscopic methods.

It will be recalled that the notion of a

stable free radical is known by those skilled in the

25 art for denoting a radical that is so persistent and

unreactive with respect to air and to moisture in the

ambient air that the pure radical may be handled and

stored without any more precautions at room temperature

than those for most commercial chemicals (see, on the
subject D. Griller and K. Ingold, Accounts of Chemical
Research, 1976, 9, 13-19 or A. Forrester et al.,
Organic Chemistry of Stable Free Radicals, Academic

5 Press, 1968).

The family of stable free radicals includes
in particular the compounds acting as radical
polymerization inhibitors for storing monomers, stable
nitroxyl radicals, i.e. those comprising the $=N-O\cdot$

10 group. It is possible to use, as stable free radical,
the radicals represented, for example, by the following
formulae:

15
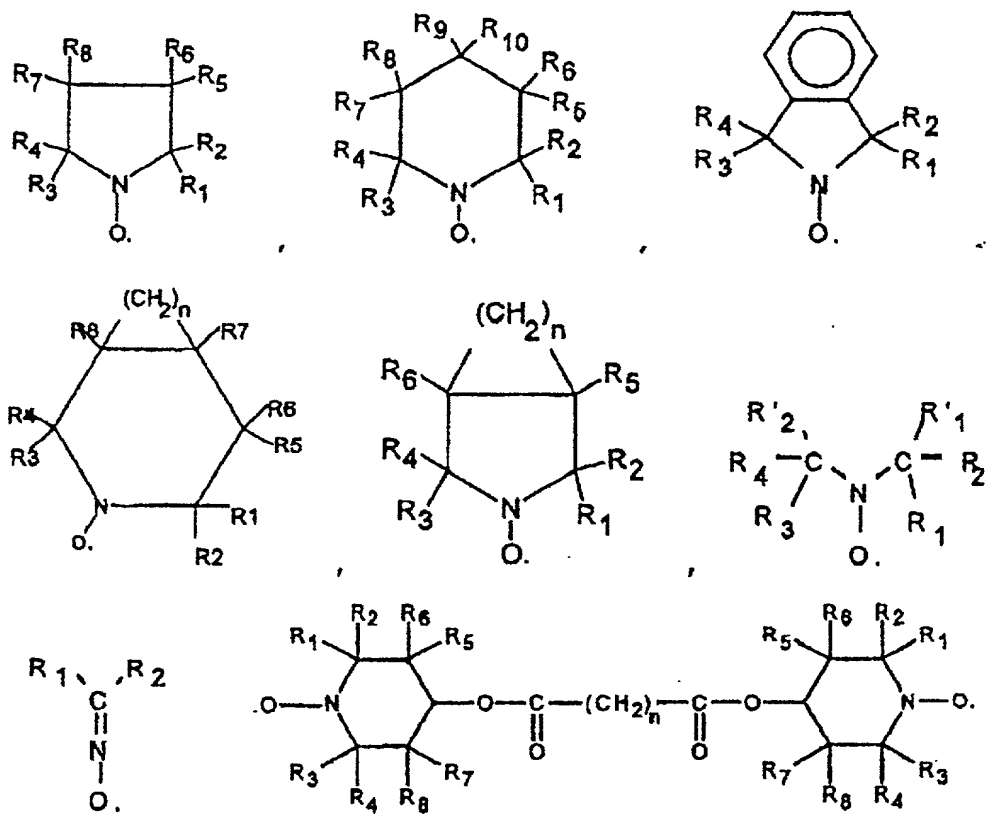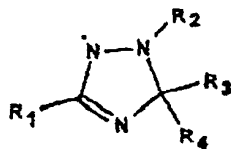
in which n represents a non-zero integer and R1, R2, R3, R4, R'1 and R'2, which may be identical or

5 different, represent a halogen atom, such as a chlorine, bromine or iodine atom, a saturated or unsaturated, linear, branched or cyclic hydrocarbon group, such as an alkyl or phenyl radical, or an ester group -COOR or an alkoxyl group -OR, or a phosphonate

10 group -PO(OR)$_2$, or a polymer chain which may, for example, be a polymethyl methacrylate, polybutadiene or polyolefin, such as polyethylene or polypropylene, chain but which is preferably a polystyrene chain, and in which R5, R6, R7, R8, R9 and R10, which may be

15 identical or different, may be chosen from the same family of groups as that which was envisaged for R1, R2, R3, R4, R'1 and R'2 and may also represent a hydrogen atom, a hydroxide group -OH, an acid group such as -COOH or -PO(OH)$_2$ or -SO$_3$H.

20   In particular, the stable free radical may be 2,2,5,5-tetramethyl-1-pyrrolidinyloxy sold under the name PROXYL, 2,2,6,6-tetramethyl-1-piperidinyloxy, generally sold under the name TEMPO, 4-hydroxy-2,2,6,6-tetramethyl-1-piperidinyloxy sold under the name 4-

25 hydroxy TEMPO, or bis(1-oxyl-2,2,6,6-

tetramethylpiperidin-4-yl)sebacate sold under the brand CXA 5415 by the company Ciba Specialty Chemical.

The stable free radical may also be chosen from the following list:

5   - N-*tert*-butyl-1-phenyl-2-methylpropyl nitroxide,

- N-*tert*-butyl-1-(2-naphthyl)-2-methylpropyl nitroxide,

- N-*tert*-butyl-1-diethylphosphono-2,2-

10 dimethylpropyl nitroxide,

- N-tert-butyl-1-dibenzylphosphono-2,2- dimethylpropyl nitroxide,

- N-phenyl-1-diethylphosphono-2,2-dimethyl- propyl nitroxide,

15   - N-phenyl-1-diethylphosphono-1-methylethyl nitroxide,

- N-(1-phenyl-2-methylpropyl)-1- diethylphosphono-1-methylethyl nitroxide

- 4-oxo-2,2,6,6-tetramethyl-1-piperidinyloxy,

20   - 2,4,6-tri-*tert*-butylphenoxy.

In the context of the present application, the stable free radical is not introduced into the polymerization mixture in a form bound to the rubber, as would be the case with a rubber carrying a stable-

25 free-radical-generating group.

The initiator is a radical polymerization initiator which may be chosen from diacyl peroxides,

peroxyesters, dialkyl peroxides and peroxyacetals.

Particularly suitable initiators are the following:

- isopropyl *tert*-butyl peroxycarbonate,

- 2-ethylhexyl *tert*-butyl peroxycarbonate,

5   - dicumyl peroxide

- di-*tert*-butyl peroxide,

- 1,1-bis(*tert*-butylperoxy)cyclohexane,

- 1,1-bis(*tert*-butylperoxy)-3,3,5-
trimethylcyclohexane,

10   - *tert*-butyl peroxyacetate,

- cumyl *tert*-butyl peroxide,

- *tert*-butyl perbenzoate,

- *tert*-butyl per-2-ethylhexanoate,

- 2,2-bis(*tert*-butylperoxy)butane,

15   - butyl 4,4-bis(*tert*-butyl)valerate,

- ethyl 3,3-bis(*tert*-butyl)butyrate,

- 2,2-bis(4,4-di-*tert*-butylperoxycyclo-
hexyl)propane.

The initiators which generate at least one

20 *tert*-butyloxy radical, i.e. $(CH_3)_3$-C-O$^\bullet$, are more
particularly suitable.

It is preferable to choose a radical-
generating initiator having the least possible tendency
to form an adduct with the stable free radical, the

25 said radicals furthermore having the highest possible
potential of grafting onto the rubber by pulling off
hydrogen atoms from the said rubber.

The term "vinylaromatic monomer" in the context of the present invention should be understood to mean an ethylenically unsaturated aromatic monomer such as styrene, vinyltoluene, alphamethyl styrene,

5   alphaethyl styrene, 4-methyl styrene, 3-methyl styrene, 4-methoxy styrene, 2-hydroxymethyl styrene, 4-ethyl styrene, 4-ethoxy styrene, 3,4-dimethyl styrene, 2-chloro styrene, 3-chloro styrene, 4-chloro-3-methyl styrene, 3-tert-butyl styrene, 2,4-dichloro styrene,

10   2,6-dichloro styrene, 1-vinylnaphthalene and vinylanthracene.

Styrene is a preferred vinylaromatic monomer.

The rubber is an elastomer normally used for imparting impact properties to vinylaromatic polymers.

15   The rubber may be a conjugated polydiene, such as polybutadiene, polyisoprene and styrene-butadiene copolymers of the elastomer type, also called SBR (styrene-butadiene rubber). The rubber may comprise less than 10% and preferably less than 5% by weight of

20   a polymerized vinylaromatic monomer unit. The rubber may not comprise a vinylaromatic monomer as a polymerization unit, as is the case for styrene-butadiene block copolymers. The rubber may therefore be a homopolybutadiene. The polymerization mixture during

25   the step of the process according to the invention may initially comprise:

• per 100 parts by weight of vinylaromatic monomer,

- 2 to 35 parts by weight of rubber and

- 0 to 50 parts by weight of solvent.

The solvent may be organic and chosen so that
it does not boil under the polymerization conditions

5 and in such a way that it is miscible with the
vinylaromatic monomer and with the vinylaromatic
polymer derived therefrom. It is possible to use
alicyclic hydrocarbons such as cyclohexane, or
preferably aromatics, such as toluene, benzene,

10 ethylbenzene or xylene.

The polymerization mixture may also contain
at least one monomer copolymerizable with the
vinylaromatic monomer or monomers, such as, for example
at least one acrylic or methacrylic monomer or

15 acrylonitrile. The term "polymerization" therefore
covers those of homopolymerization, copolymerization
and interpolymerization and the term "polymer" covers
those of homopolymer, copolymer and interpolymer.

After the so-called phase-inversion

20 phenomenon, i.e. the formation of particles, it is
possible to add a polymerization initiator identical to
or different from that present at the start of
polymerization, so as to increase the polymerization
rate. The amounts of initiator added after phase

25 inversion are not to be taken into account in the
calculation of the $[F_{SFR} \times (SFR)]/[F_{INIT} \times (INIT)]$ ratio
with which the present application is concerned.

Preferably, the weight-average molecular mass (M_w) of the vinylaromatic polymer matrix ranges from 90,000 to 250,000 and preferably from 100,000 to 200,000. Generally, the polydispersivity M_w/M_n of the

5 matrix ranges from 2.2 to 3.5 and more particularly from 2.3 to 3.

In the case of an "impact" composition, the weight-average molecular mass of the matrix generally ranges from 90,000, to 200,000 and preferably from

10 100,000 to 150,000, for example from 110,000 to 130,000.

In the case of a "glossy" composition, the weight-average molecular mass of the matrix generally ranges from 130,000 to 250,000, for example from

15 150,000 to 200,000.

It is possible to add to the polymerization mixture, before or during the polymerization, at least one additive normally used in this kind of preparation. These additives may be plasticizers, such as mineral

20 oils, butyl stearate or dioctyl phthalate, or stabilizers, such as antioxidants, which may be phenol substituted with an alkyl group, such as di-*tert*-butylparacresol, or phosphites such as trinonylphenyl phosphite.

25 If a plasticizer is introduced, this may be so in an amount such that it is present in the finally synthesized composition in an amount of 0 to 6% by weight.

If a stabilizer is introduced, this may be present in the polymerization mixture in an amount of 0 to 3000 ppm.

During the polymerization, the well-known
5 phenomenon of phase inversion occurs, which leads to the formation of rubber nodules dispersed in a vinylaromatic polymer matrix. During this polymerization, the stirring must be vigorous enough for the dispersion of the rubber nodules to be uniform.

10   The polymerization may be carried out in batch mode. The polymerization may also be carried out continuously and, in this case, preferably so that the phase inversion takes place in a plug-flow reactor. If the polymerization is carried out continuously so that
15 the phase inversion takes place in a continuously stirred tank reactor or CSTR, then preferably the polymerization was already started in at least one other reactor before entry into the said phase-inversion continuously stirred tank reactor.

20   After polymerization, it is expedient to eliminate the volatile species such as the monomers that have not reacted, and any organic solvent. This may be carried out using conventional techniques, such as by the use of a devolatilizer operating hot and
25 under vacuum.

The final rubber and vinylaromatic polymer content of the composition according to the invention depends on the degree of conversion to which the

polymerization has been taken before elimination of the volatile species. This is because if the degree of polymerization conversion is low, elimination of the volatile species will remove a large amount of

5 vinylaromatic monomer and the final rubber content of the composition will be higher.

The polymerization conversion may be monitored using samples taken during the polymerization step and by determining the solids content on the

10 samples taken. The term "solids content" should be understood to mean the percentage by weight of solids obtained after evaporation under a vacuum of 25 millibar for approximately 20 minutes at 200°C of the specimens taken with respect to the initial weight of

15 the specimen taken. It may be possible to drive the polymerization, for example, until a solids content of between 60 and 80% by weight is obtained.

It is preferable to adjust the amounts of ingredients introduced and the manufacturing conditions

20 so that the final composition contains between 2 and 25% and even more preferably between 4 and 15% of rubber.

Preferably, a step of the process according to the invention is at least partially carried out at a

25 temperature ranging from 80 to 140°C, for example between 90 and 130°C.

Preferably, the step of the process according to the invention is carried out at least partially

before phase inversion at a temperature T such that
$T_{1/2} - 20°C < T < T_{1/2} + 20°C$ and preferably such that
$T_{1/2} - 10°C < T < T_{1/2} + 10°C$, in which $T_{1/2}$ represents the
temperature at which 50% of the initiator has

5 decomposed in one hour. Most of the polymerization may
be carried out in the temperature ranges just given.

The polymerization initiator may, for
example, be present so that the molar ratio of (INIT) ×
F_{INIT} to the amount of vinylaromatic monomer goes from

10 1×10^{-5} to 1×10^{-2}.

Preferably, the stable free radical is
present so that

$$0.1 < \frac{(SFR) \times F_{SFR}}{(RU)} < 10$$

15

in which (RU) represents the number of moles of rubber.

The polymerization process according to the
invention makes it possible to obtain "impact"
compositions having both a high fluidity (high MI_5), a

20 high impact resistance and a high Vicat softening
point. It is generally very difficult to obtain high
values for these three properties at the same time.

In order to increase the fluidity, the
molecular mass of the vinylaromatic polymer should be

25 decreased, which may be achieved by increasing the
polymerization temperature and by decreasing the
polymerization time, and/or by increasing the
concentration of chain-transfer agent (for example a

mercaptan, such as n-dodecyl mercaptan or *tert*-dodecyl

mercaptan) in the polymerization mixture, preferably

after phase inversion. In the context of the process

according to the invention, it is possible, using the

5   means just mentioned, to obtain an "impact" composition

having a melt index MI_5 (210°C/5 kg) of greater than 15,

while still maintaining a Vicat softening temperature

(1 kg) of greater than 94, or even greater than 94.5,

and a notched Izod impact strength of greater than 8,

10   or even greater than 9.

In the examples which follow, the following

technical terms have been used:

- melt index MI_5 (at 210°C/5 kg): ISO 1133 H

standard,

15   - notched Izod impact strength: ISO 180/1A

standard,

- Vicat softening temperature (1 kg): ISO

306A50 standard,

- gloss: this is measured on samples 60 mm in

20   diameter, by determining the reflected light when the

specimen is exposed to a light beam at an angle of 60°,

according to the ASTM D523 standard. The measurement

apparatus used is a Byk Gardner Micro-Tri-Gloss GLØ1

machine,

25   - haze: this is measured on a film

approximately 300 microns in thickness, obtained by hot

compression of an injection-moulded sample 60 mm in

diameter and 2 mm in thickness. It is measured using a

Byk Gardner spectrocolorimeter, according to the
ASTM D 1003 standard,

     - morphology: scanning electron microscopy on
sections treated with osmium tetraoxide.

5   Table 2 gives the morphologies obtained for
the examples in three equivalent-diameter size ranges
(0.1 to 1 µm; 1 to 1.6 µm; greater than 1.6 µm). In
each size range, if only one morphology is indicated
this means that, in a section of the material, more-

10   than 90% of the area occupied by the particles
corresponding to this range have the morphology
indicated. In each size range, if several morphologies
are indicated, one of them is underlined, which means
that, in a section of the material, more than 90% of

15   the area occupied by the particles corresponding to
this range have the morphology underlined.

     The particle size distribution was determined
in the manner described below.

     From 15 to 20 images were taken for each

20   product analysed. These images were taken randomly from
thin sections. The magnification is chosen depending on
the size of the coarsest objects, which must in no case
exceed 1/9 of the total area of the field.

     The magnification is chosen so that the

25   following two criteria are met:

     1. the coarsest of the particles does not
occupy more than 1/9 of the area of the image, and

2. each particle occupies an area of at least 5 pixels.

In the case of some specimens, these two criteria cannot be combined for a single magnification. In this case, two series of different magnifications are used.

The electron microscopy images are digitized (in order to obtain a digital representation of 512 × 512 pixels each coding 256 grey levels) and then put into binary form so that the image now comprises only points having the value 1 or the value 0, using two operations - firstly a morphology gradient operation, followed by a size-2 top hat transformation (see, on the subject Jean SERRA, "Image Analysis and Mathematical Morphology, Vol. 1, Academic Press 1982).

The binary image is then manually restored (closure of contours, disconnection of objects and elimination of artefacts) so as to remove artefacts such as traces due to the cutting operation, cracks in the material or juxtapositions of nodules.

When two magnifications are necessary, the series of images taken with the lowest magnification undergoes an extraction operation, namely the coarsest nodules are selected and extracted so as to appear by themselves in the final image. These images serve as the basis for measuring their size. The space left by omitting the nodules not selected will subsequently be filled virtually, using the particle size measurement

determined by analysing the series taken with the higher magnification (see the data reconciliation procedure below).

The binary images are then analysed using a measurement module. In general, from 15 to 20 images of the same specimen are used in order to obtain representative statistics. The percentage area occupied by the sections of nodules (objects) in the matrix (background) is estimated simply by counting the number of pixels having the value 1 in the images and by adding this number to the total number of pixels in the images. The area of each of the individual objects in the image is measured and this area allows the equivalent diameter to be calculated. The concept of equivalent diameter makes it possible to get round the problem of the shape of the object: D_{eq} is the diameter of a disc which would have the same area as the object measured. Only complete objects are taken into account (partially visible nodules are eliminated, i.e. cut off by the edges of the image).

After a statistical edge-effect correction, called a Miles-Lantuejouls correction (see, on this subject: Michel Coster & Jean-Louis Chermant, "Précis d'Analyse d'Images [*Précis of Image Analysis*]", Presses du CNRS, 1989), a frequency histogram n_i = f (equivalent diameter $D_{eq,i}$) is formed.

The mean parameters characteristic of the population may be evaluated from a number-based cumulative representation:

5
$$F_k = \frac{\sum_{i=1}^{i=k} n_i}{N_T} = f(D_{eq})$$

where $N_T = \sum_{i=1}^{i=imax} n_i$

Fk being the frequency of the nodules having a size of less than or equal to $D_{eq,k}$,

N_T being the total number of nodules,

10 n_i being the number of nodules in the size class defined by $D_{eq,i}$,

i being the index allowing the class sizes to be distinguished, and

imax being the total number of classes in the

15 histogram (number of different values of D_{eq}).

An area-based cumulative representation

$$FS_k = \frac{\sum_{i=1}^{i=k} S_i}{S_T} = f(D_{eq})$$

where $S_i = n_i \frac{\pi.(D_{eq})^2}{4}$ and $S_T = \sum_{i=1}^{i=imax} S_i$

FS_k being the surface frequency of the nodules

20 having a size of less than or equal to $D_{eq.k}$,

S_T being the total area occupied by the nodules taken into account (not those cut off by the edges of the image),

n_i being the number of nodules in the size class defined by $D_{eq.i}$ and

S_i being the area occupied by the nodules belonging to the size class defined by $D_{eq,i}$,

5   is very suitable for showing bimodality in the population. In fact, the distribution of particle sizes may be regarded as being bimodal if this cumulative representation has a point of inflection.

When two magnifications have to be used to

10 characterize the distributed nodule populations, a procedure for combining the two sets of data coming from the separate analysis of each magnification [list of equivalent diameters] is employed (data reconciliation). This combining procedure uses the

15 ratio of the areas scanned in the sections in order to normalize the two populations: by taking as base the scanned area for determining the particle sizes of the coarse nodules (cumulative total of the field areas scanned at low magnification), the particle size of the

20 small nodules [low magnification] is used to construct a homogeneous population. The proportionality coefficient between the two populations is given by the following ratio:

$$Q = \frac{S_1 - S_{nodules}}{S_0}$$

25

where S_0 and S_1 are the scanned areas in the section under high magnification and under low magnification, respectively, and $S_{nodules}$ is the area occupied by the

sections of nodules in the series of images taken at low magnification. This ratio Q is used to multiply the number of occurrence of equivalent diameters determined by analysing the series of images taken at high

5   magnification.

The histograms thus normalized are combined by choosing a cut-off threshold between the two sets of data. This threshold is chosen in an interactive manner in the region of overlap of the histograms.

10   The same calculations as previously are carried out on the resulting population, after it has been normalized.

EXAMPLE 1 (comparative example)

15   The following materials are introduced, at room temperature, into a 16 litre stainless steel reactor fitted with a stirring system and with a temperature controller: 9470 g of styrene, 660 g of ethylbenzene, 220 g of a plasticizing mineral oil of

20   the brand PRIMOL 352 sold by the company Esso, 11 g of an antioxidant of the brand IRGANOX 1076 sold by the company Ciba and 640 g of a homopolybutadiene of the brand BUNA CB HX 527 SIC sold by the company Bayer, this rubber having a weight-average molar mass of

25   245,000 g/mol, a polydispersivity index of 2.35, a 100°C (1+4) ML Mooney viscosity of 46 and a viscosity as a 5% by weight solution in styrene at 25°C of 145 mPa.s. The mixture is stirred at 80 revolutions per

minute. After completely dissolving the polybutadiene,
2.9 g of isopropyl *tert*-butyl peroxycarbonate (i.e.
1.235×10^{-2} mol) diluted to 75% by weight in a
hydrocarbon, sold under the brand LUPEROX TBIC-M75 by

5   the company Luperox, are introduced. The solution is
heated to 130°C in 30 minutes. This temperature is
maintained for 1 h 30 min. and then heated to 145°C.
The polymerization conversion is monitored by taking
regular samples during the polymerization step and by

10 determining the solids content on the said samples.

The term "solids content" should be
understood to mean the percentage by weight of solids,
obtained after evaporation under a vacuum of 25
millibar for approximately 20 minutes at 200°C, of the

15 samples taken with respect to the initial weight of the
sample taken. After phase inversion, the stirring is
reduced from 80 to 40 revolutions per minute. After a
solids content of approximately 60% has been obtained,
the contents of the reactor are transferred into a

20 superheater at 230°C so as to crosslink the elastomer
(residence time: approximately 10 minutes) and then
into a devolatilizer at 230°C under a vacuum of about
50 mbar so as to remove the ethylbenzene and the
residual styrene. The properties of the composition

25 thus obtained are given in Tables 1 and 2. Figure 1 is
a number-based and area-based cumulative representation
of the amounts of particles as a function of their
equivalent diameter.

EXAMPLE 2

The procedure is carried out as in Example 1, except that 1.6 g (i.e. 0.93×10^{-2} mol) of the stable

5   free radical 4-hydroxy-2,2,6,6-tetramethyl piperidinyloxy (which may be called OH-TEMPO) are added just before the heating step and except that the polymerization temperature is set at 120°C.

The properties of the composition thus

10   obtained are indicated in Tables 1 and 2. Figure 2 is a number-based and area-based cumulative representation of the amounts of particles as a function of their equivalent diameter.

15   **EXAMPLE 3**

The procedure is carried out as in Example 2, except that just before the heating step 4.9 g of LUPEROX TBIC-M75 (i.e. 2.085×10^{-2} mol) are added instead of the 2.9 g. The properties of the composition

20   thus obtained are given in Tables 1 and 2. Figure 3 is a number-based and area-based cumulative representation of the amounts of particles as a function of their equivalent diameter.

25   **EXAMPLE 4**

The procedure is carried out as in Example 1 except that, before the heating step, 8.75 g of LUPEROX TBIC-M75 (i.e. 3.705×10^{-2} mol), instead of the 2.9 g,

and 4.25 g (i.e. 2.47 × 10^{-2} mol) of OH-TEMPO are added.
In addition, the polymerization temperature is set at
120°C. The properties of the compositions thus obtained
are given in Tables 1 and 2. Figure 4 is a number-based

5   and area-based cumulative representation of the amounts
of particles as a function of their equivalent
diameter.

EXAMPLE 5

10   The procedure is carried out as in Example 1,
but by setting the polymerization temperature at 120°C
and starting by initially dissolving the following
ingredients in the following amounts:
- styrene: 9540 g,

15   - mineral oil, PRIMOL 352: 220 g,
- antioxidant, IRGANOX 1076: 11 g,
- polybutadiene of the brand BUNA CB HX 565
having a weight-average molecular mass of 172,500, a
polydispersivity of 1.6, a 100°C (1+4) ML Mooney

20 viscosity of 56 and a viscosity as a 5% by weight
solution in styrene, at 25°C, of 44 mPa·s: 570 g,
- ethylbenzene: 660 g.

        Just before the heating step, the following
are added to the dissolution:

25   - LUPEROX TBIC-M75: 8.1 g, i.e. 3.45 ×
10^{-2} mol,

        - OH-TEMPO: 2.6 g, i.e. 1.51 × 10^{-2} mol.

The properties of the composition thus
obtained are given in Tables 1 and 2. Figure 5 is a
number-based and area-based cumulative representation
of the amounts of particles as a function of their
5 equivalent diameter.

TABLE 1

	UNITS	EXAMPLE No.				
		1 (comparative)	2	3	4	5
Amount of initiator (INIT)	mol	1.235×10^{-2}	1.235×10^{-2}	2.085×10^{-2}	3.705×10^{-2}	3.45×10^{-2}
Amount of stable free radical (SFR)	mol	0	0.93×10^{-2}	0.93×10^{-2}	2.47×10^{-2}	1.51×10^{-2}
$\dfrac{(SFR) \times F_{SFR}}{(INIT) \times F_{INIT}}$		0	0.38	0.22	0.33	0.22
Viscosity of the polybutadiene as 5% solution in styrene at 25°C	mPa.s	145	145	145	145	44
Amount of polybutadiene (RU)	mol	0.61×10^{-2}	0.61×10^{-2}	0.61×10^{-2}	0.61×10^{-2}	0.53×10^{-2}
$\dfrac{(SFR) \times F_{SFR}}{(RU)}$		0	1.5	1.5	4	2.9
Amount of polybutadiene	% by weight	8.5	8.5	8.5	8.6	8.4
Melt index MI_5	g/10 min.	2.9	2.6	3.6	10.3	6.4
Izod impact strength	kJ/m²	11.6	14.1	15.4	13	5.3
Vicat softening temperature (1 kg)	°C	94.5	95.0	94.7	95	94.7
Gloss	UB	29	21	40	65	82
Transparency (haze)	%	80			75	30

TABLE 2

Nodule distribution		EXAMPLE No.				
		1 (comparative)	2	3	4	5
		monomodal	bimodal	bimodal	bimodal	monomodal
Particles having an equivalent diameter of between 0.1 and 1 μm	% by area	49	22	47	50	100
	morphology	capsule + salami	capsule + salami	capsule + onion + labyrinth	capsule + onion + labyrinth	capsule
Particles having an equivalent diameter of between 1 and 1.6 μm	% by area	28	9	16	8	-
	morphology	salami	salami	onion + labyrinth	onion + labyrinth	-
Particles having an equivalent diameter of greater than 1.6 μm	% by area	23	69	37	42	-
	morphology	salami	salami	labyrinth	labyrinth	-

CLAIMS

1.   Process for the preparation of a
composition comprising a vinylaromatic polymer matrix

5   surrounding rubber nodules, comprising a step of

polymerizing at least one vinylaromatic monomer in the

presence of a rubber, of a stable free radical which is

not introduced into the polymerization mixture in a

form linked to the rubber, and of a polymerization

10   initiator with a grafting character suitable for the

said composition

- is such that in one of its sections at least 90% of

the total area occupied by the nodules corresponds to

capsules having an equivalent diameter ranging from

15   0.1 to 1 µm, or else

- is such that it comprises multi-occlusion-type

nodules and is such that in one of its sections

- 20 to 60% of the total area occupied by the

particles corresponds to particles having an equivalent

20   diameter ranging from 0.1 to 1 µm,

- 5 to 20% of the total area occupied by the

particles corresponds to particles having an equivalent

diameter ranging from 1 to 1.6 µm, and

- 20 to 75% of the total area occupied by the

25   particles corresponds to particles having an equivalent

diameter of greater than 1.6 µm.

the said step being such that:

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     - if (SFR) represents the number of moles of stable free radical in the polymerization mixture,

     - if F_{SFR} represents the functionality of the stable free radical, i.e. the number of sites on the same molecule of stable free radical having the stable free radical state,

     - if (INIT) represents the number of moles of polymerization initiator in the polymerization mixture before phase inversion, and

     - if F_{INIT} represents the functionality of the initiator introduced before phase inversion, i.e. the number of sites having the free radical state that each molecule of initiator is capable of generating, then:

$$0.05 < \frac{F_{SFR} \times (SFR)}{F_{INIT} \times (INIT)} < 1.$$

2.   Process according to the previous claim, characterized in that

$$0.05 < \frac{F_{SFR} \times (SFR)}{F_{INIT} \times (INIT)} < 0.5.$$

3.   Process according to one of the preceding claims, characterized in that, if (RU) represents the number of moles of rubber,

$$0.1 < \frac{(SFR) \times F_{SFR}}{(RU)} < 10.$$

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4.   Process according to one of the
preceding claims, characterized in that the ratio of
$(INIT) \times F_{INIT}$ to the molar amount of vinylaromatic
monomer ranges from 1×10^{-5} to 1×10^{-2}.

5   5.   Process according to one of the
preceding claims, characterized in that the ratio of
$(INIT) \times F_{INIT}$ to the molar amount of vinylaromatic
monomer is greater than 2×10^{-4}.

6.   Process according to one of the
10   preceding claims, characterized in that the ratio of
$(INIT) \times F_{INIT}$ to the molar amount of vinylaromatic
monomer is greater than 4×10^{-4}.

7.   Process according to one of the
preceding claims, characterized in that the ratio of
15   $(INIT) \times F_{INIT}$ to the molar amount of vinylaromatic
monomer is greater than 6×10^{-4}.

8.   Process according to one of the
preceding claims, characterized in that the
polymerization mixture during the step of the process
20   comprises, per 100 parts by weight of vinylaromatic
monomer, 2 to 35 parts by weight of rubber and 0 to 5
parts by weight of solvent.

9.   Process according to one of the
preceding claims, characterized in that the rubber has
25   a weight-average molecular mass ranging from 110,000 to
350,000 and a number-average molecular mass ranging
from 50,000 to 250,000, and in that the matrix of

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vinylaromatic polymer has a weight-average molecular mass ranging from 90,000 to 250,000.

10. Process according to one of the preceding claims, characterized in that the rubber nodules have partially both a salami and/or labyrinth morphology and partially both an onion and/or capsule morphology.

11. Process according to one of the preceding claims, characterized in that the composition is such that, in one of its sections,

- 20 to 60% of the total area occupied by the particles corresponds to particles having an equivalent diameter ranging from 0.1 to 1 µm,

- 5 to 20% of the total area occupied by the particles corresponds to particles having an equivalent diameter ranging from 1 to 1.6 µm, and

- 20 to 75% of the total area occupied by the particles corresponds to particles having an equivalent diameter of greater than 1.6 µm.

12. Process according to the preceding claim, characterized in that:

- in the 0.1 to 1 µm size range, more than 95% of the particles have the salami or capsule morphology,

- in the 1 to 1.6 µm size range, more than 95% of the particles have the salami morphology, and

- in the greater than 1.6 µm size range, more than 95% of the particles have the salami morphology.

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13. Process according to Claim 11, characterized in that

- in the 0.1 to 1 µm size range, more than 95% of the particles have the capsule or onion or
5 labyrinth morphology,

- in the 1 to 1.6 µm size range, more than 95% of the particles have the onion or labyrinth morphology, and

- in the greater than 1.6 µm size range, more
10 than 95% of the particles have the labyrinth morphology.

14. Process according to one of the preceding claims, characterized in that the distribution of the equivalent diameters of nodules is
15 bimodal.

15. Process according to one of Claims 10 to 14, characterized in that the rubber has, as a 5% by weight solution in styrene, a viscosity at 25°C ranging from 60 to 300 mPa.s.

20 16. Process according to one of Claims 10 to 15, characterized in that the rubber has a weight-average molecular mass ranging from 175,000 to 350,000 and a number-average molecular mass ranging from 70,000 to 250,000.

25 17. Process according to the preceding claim, characterized in that the rubber has a weight-average molecular mass ranging from 200,000 to 300,000

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and a number-average molecular mass ranging from 90,000 to 200,000.

18. Process according to one of Claims 1 to 9, characterized in that the composition is such that,

5 in one of its sections, at least 90% of the total area occupied by the particles corresponds to capsules having an equivalent diameter ranging from 0.1 to 1 µm.

19. Process according to the preceding claim, characterized in that the rubber has, as a 5% by

10 weight solution in styrene, a viscosity at 25°C ranging from 15 to 60 mPa.s.

20. Process according to Claim 18 or 19, characterized in that the rubber has a weight-average molecular mass ranging from 110,000 to 200,000 and a

15 number-average molecular mass ranging from 50,000 to 200,000.

21. Process according to the preceding claim, characterized in that the rubber has a weight-average molecular mass ranging from 150,000 to 200,000

20 and a number-average molecular mass ranging from 70,000 to 150,000.

22. Process according to one of the preceding claims, characterized in that the rubber is a homopolybutadiene.

25 23. Process according to one of the preceding claims, characterized in that the initiator is one of the following:

- isopropyl *tert*-butyl peroxycarbonate,
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- 2-ethylhexyl *tert*-butyl peroxycarbonate,

- dicumyl peroxide

- di-*tert*-butyl peroxide,

- 1,1-bis(*tert*-butylperoxy)cyclohexane,

5   - 1,1-bis(*tert*-butylperoxy)-3,3,5-

trimethylcyclohexane,

- *tert*-butyl peroxyacetate,

- cumyl *tert*-butyl peroxide,

- *tert*-butyl perbenzoate,

10   - *tert*-butyl per-2-ethylhexanoate,

- 2,2-bis(*tert*-butylperoxy)butane,

- butyl 4,4-bis(*tert*-butyl)valerate,

- ethyl 3,3-bis(*tert*-butyl)butyrate,

- 2,2-bis(4,4-di-*tert*-butylperoxycyclo-

15 hexyl)propane.

  24. Process according to one of the
preceding claims, characterized in that the initiator
is chosen from diacyl peroxides, peroxy esters, dialkyl
peroxides and peroxy acetals.

20 25. Process according to the preceding
claim, characterized in that the initiator generates at
least one *tert*-butyloxy radical.

  26. Process according to the preceding
claim, characterized in that the initiator is one of

25 the following:

- isopropyl *tert*-butyl peroxycarbonate,

- 1,1-bis(*tert*-butylperoxy)cyclohexane,

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- 1-1-bis(*tert*-butylperoxy)-3,3,5-trimethyl-cyclohexane.

27. Process according to one of the preceding claims, characterized in that the polymerization step is carried out at least partially at 80 to 140°C.

28. Process according to one of the preceding claims, characterized in that the polymerization step is carried out at least partially at 90 to 130°C.

29. Process according to one of the preceding claims, characterized in that the polymerization step is carried out at least partially, before phase inversion, at a temperature T such that $T_{\frac{1}{2}} - 20°C < T < T_{\frac{1}{2}} + 20°C$, in which $T_{\frac{1}{2}}$ represents the temperature at which 50% of the initiator is decomposed in one hour.

30. Process according to the preceding claim, characterized in that the step is carried out at least partially at a temperature T such that $T_{\frac{1}{2}} - 10°C < T < T_{\frac{1}{2}} + 10°C$.

31. Process according to one of the preceding claims, characterized in that the polymerization initiator is added to the polymerization mixture after phase inversion.

32. Process according to one of the preceding claims, characterized in that the vinylaromatic monomer is styrene.

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33. Process according to one of the preceding claims, characterized in that the polymerization is carried out continuously so that the phase inversion takes place in a plug-flow reactor.

34. Composition capable of being obtained by the process of one of the preceding claims.

35. Composition according to the preceding claim or comprising a stable free radical which is in a free form or in a form linked to a polymer chain by a covalent bond, comprising a matrix of vinylaromatic polymer surrounding rubber nodules, characterized in that the composition comprises nodules of the multi-occlusion type and is such that, in one of its sections,

- 20 to 60% of the total area occupied by the particles corresponds to particles having an equivalent diameter ranging from 0.1 to 1 μm,

- 5 to 20% of the total area occupied by the particles corresponds to particles having an equivalent diameter ranging from 1 to 1.6 μm, and

- 20 to 75% of the total area occupied by the particles corresponds to particles having an equivalent diameter of greater than 1.6 μm.

36. Composition according to the preceding claim, characterized in that:

- in the 0.1 to 1 μm size range, more than 95% of the particles have the salami or capsule morphology,

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- in the 1 to 1.6 μm size range, more than 95% of the particles have the salami morphology, and

- in the greater than 1.6 μm size range, more than 95% of the particles have the salami morphology.

37. Composition according to Claim 35, characterized in that

- in the 0.1 to 1 μm size range, more than 95% of the particles have the capsule or onion or labyrinth morphology,

- in the 1 to 1.6 μm size range, more than 95% of the particles have the onion or labyrinth morphology, and

- in the greater than 1.6 μm size range, more than 95% of the particles have the labyrinth morphology.

38. Composition according to one of Claims 34 to 37, characterized in that the distribution of the equivalent diameters of nodules is bimodal.

39. Composition according to one of Claims 34 to 38, characterized in that the melt index at 210°C with 5 kg is greater than 15 g/10 min (ISO 1133 H), the Vicat softening temperature (1 kg) is greater than 94°C (ISO 306 A50) and the notched Izod impact strength is greater than 8 kJ/m^2 (ISO 180/1A).

40. Composition according to the preceding claim, characterized in that the Vicat softening temperature (1 kg) is greater than 94.5°C and the notched Izod impact strength is greater than 9 kJ/m^2.

41.   Case for a television or a video recorder or a computer or a printer or a fax machine produced by injection moulding a composition of one of Claims 35 to 40.

5   42.   Composition according to Claim 34, characterized in that the composition is such that, in one of its sections, at least 90% of the total area occupied by the particles corresponds to capsules having an equivalent diameter ranging from 0.1 to 1 µm.
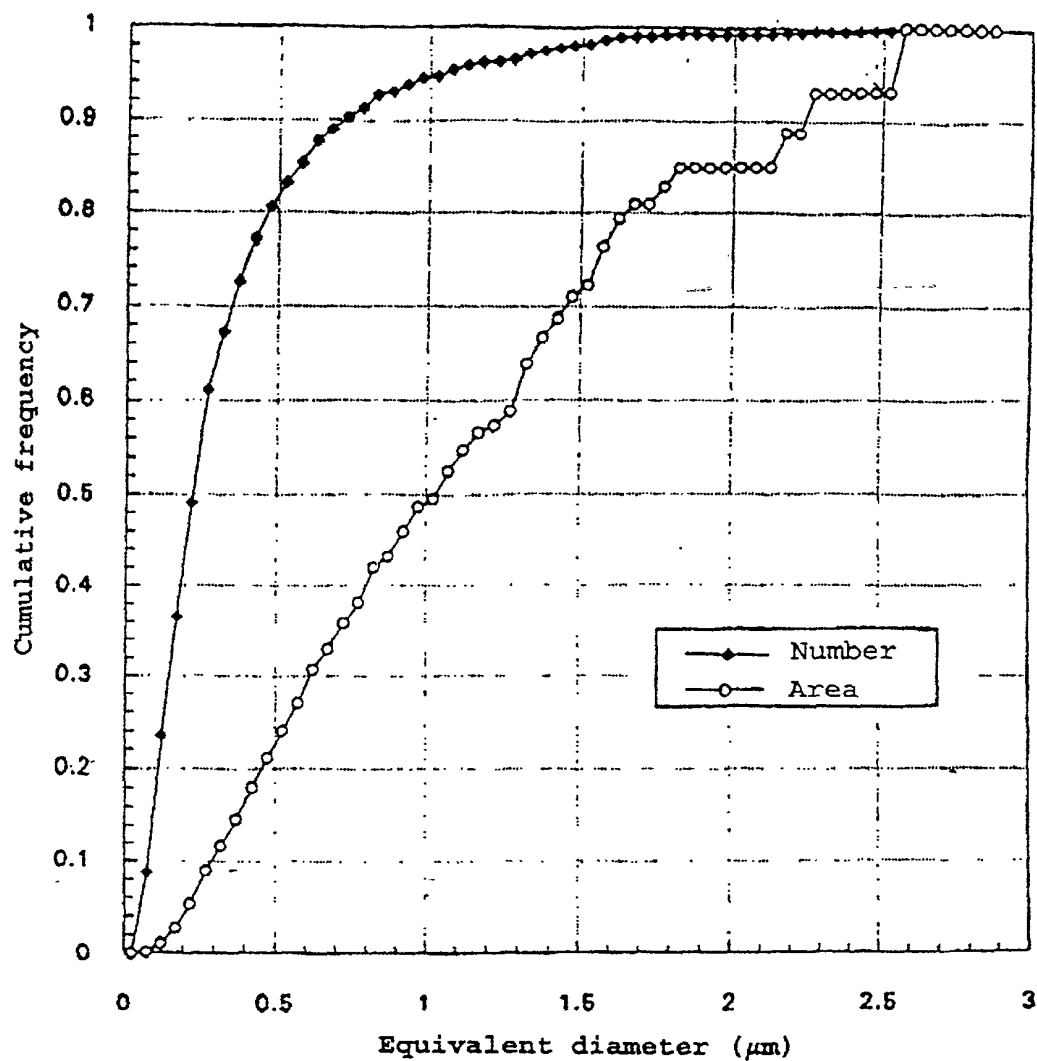
Number-based and 2D area-based histograms: Example 1

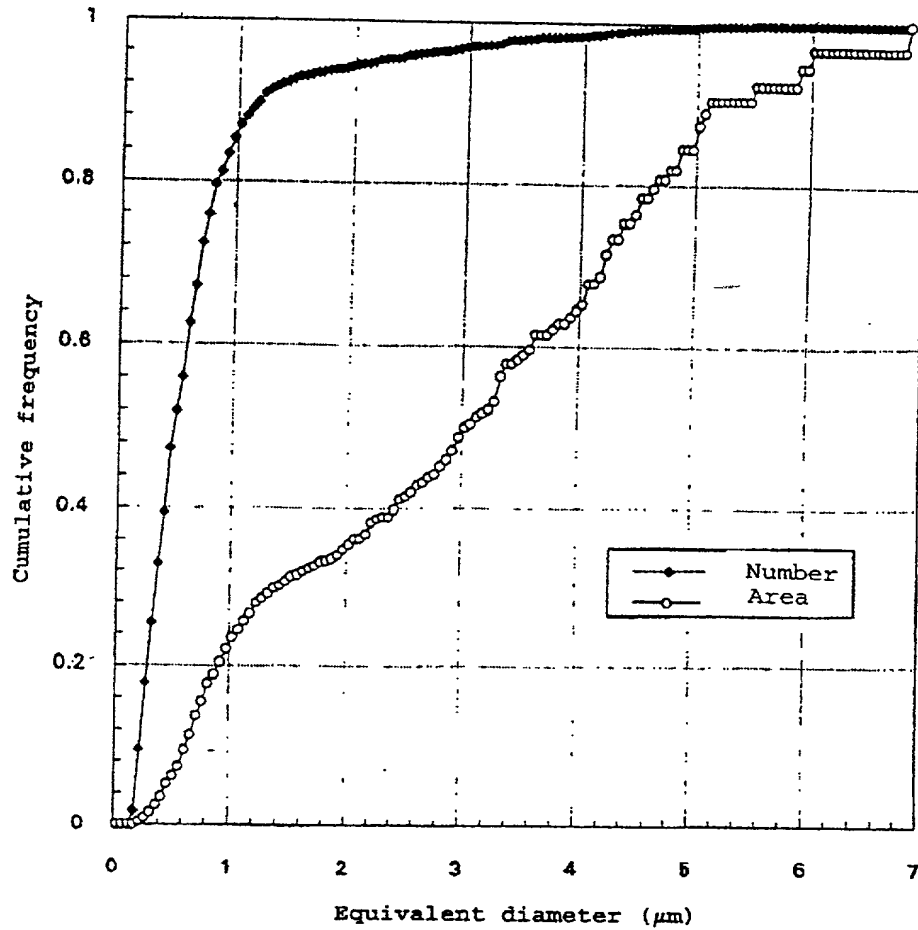
Fig 1

Number-based and 2D area-based histograms: Example 2

Fig 2

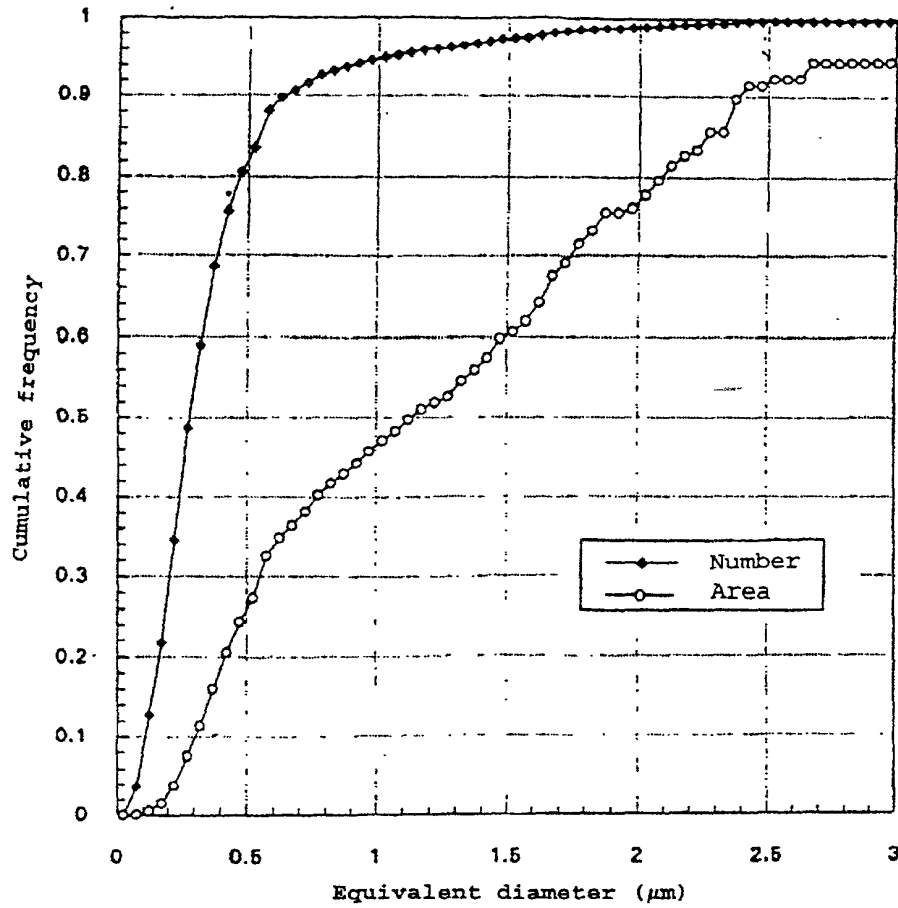Number-based and 2D area-based histograms: Example 3

Fig 3

Number-based and 2D area-based histograms: Example 4

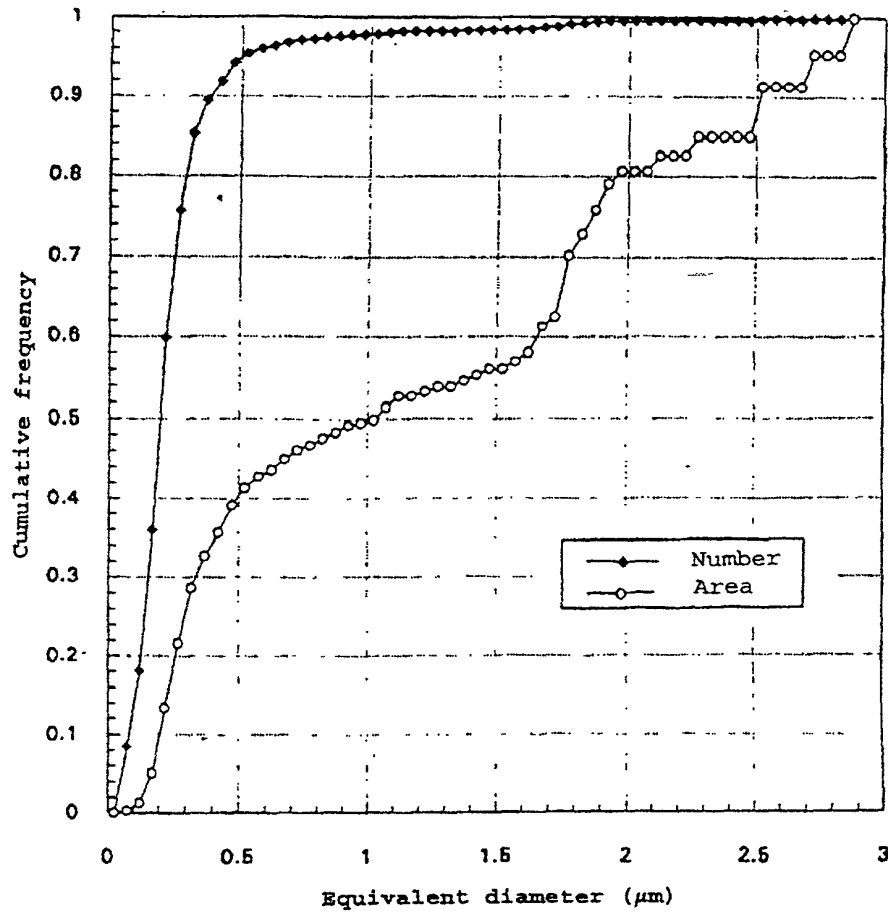Fig 4

Number-based and 2D area-based histograms: Example 5

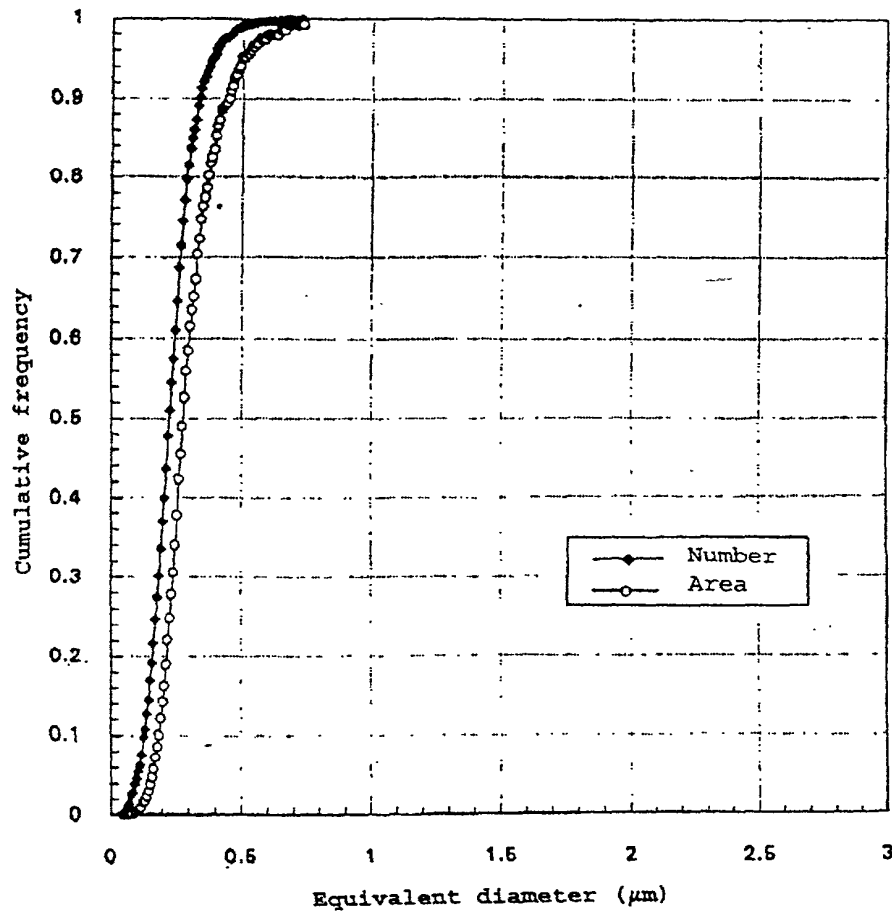

Fig 5

DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

    SHOCK VINYLAROMATIC POLYMER BY POLYMERIZATION OF A VINYLAROMATIC MONOMER IN THE PRESENCE OF A STABLE FREE RADICAL AND A POLYMERISATION INITIATOR

and for which a patent application:
⊠ is attached hereto and includes amendment(s) filed on *(if applicable)*
☐ was filed in the United States on as Application No. *(for declaration not accompanying application)*
with amendment(s) filed on *(if applicable)*
⊠ was filed as PCT international Application No. PCT/FR99/01272 on May 31, 1999 and was amended under PCT Article 19 on *(if applicable)*

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
98/06940	France	June 3, 1998	YES ⊠ NO ☐
			YES ☐ NO ☐
			YES ☐ NO ☐

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

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    * for use only when the application is assigned to a company, partnership or other organization.

                NY2 - 1144538.1

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201

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DATE X December 1st, 2000

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202

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